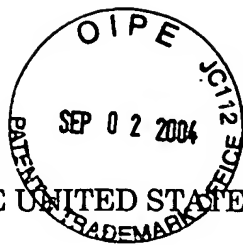


10/501,124



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

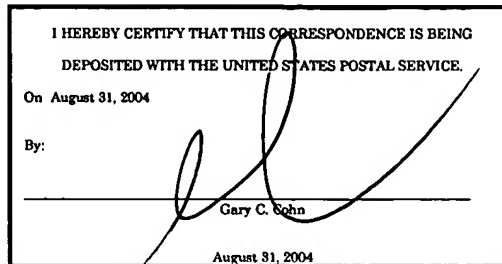
Applicant (s): Hirotaka UZAWA, et al

Serial No.: 10/501,124

Group Art Unit: Unknown

International Filing Date: February 26, 2003 Examiner: Unknown

For: NANOSCALE SELF-AGGREGATES



Hon. Commissioner of Patents & Trademarks

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to Applicant's duty of disclosure under 37 CFR §1.56, the Examiner's attention is directed to the information identified in the attached Form PTO 1449. A copy of all cited patents and printed publications is enclosed.

☒ This paper is being filed before the latest of (a) three months after the filing date (if a national application), (b) three months after the date of entry of the national stage (if an international application or (c) before the date of mailing of the first action on the merits.

☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this information disclosure statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this information disclosure statement.

☐ The fee set forth in 37 CFR §1.17(p) is enclosed.

☐ A petition requesting consideration of this information disclosure statement is enclosed.

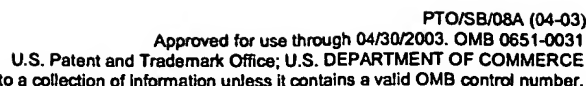
☐ The petition fee set forth in 37 CFR §1.17(i) is enclosed.

The Examiner is requested to review each reference and formulate his or her own understanding thereof.

Respectfully submitted,
GARY C. COHN PLLC

Gary C. Cohn
Registration No. 30,456
Phone: (425) 576-1656

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Kirkland, WA 98033



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Substitute for form 1449/PTO

(Use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/501,124
Filing Date	February 26, 2003
First Named Inventor	Hiroataka UZAWA
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	TIP 026

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

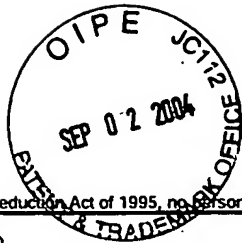
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ²
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		JP 2001-261692A	09-26-2001	JOHN GEORGE		
		JP 2000-204030A	07-25-2000	IKEMOTO TAKESHI		
		JP 2003166129A	06-13-2003	MINOURA NORIHIKO		
		EP 1 186 688 A1	03-13-2002	SHIMIZU TOSHIMI		
		WO 03/072858	09-04-2003	SHIMIZU TOSHIMI	≡US 10/497,090	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.**

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PTO/SB/08B (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/501,124
Filing Date	February 26, 2003
First Named Inventor	Hirofaka UZAWA
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	TIP 026

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		G. John, M. Masuda, Y. Okada, K. Yase and T. Shimizu, "Nanotube Formation from Renewable Resources via Coiled Nanofibers", Advanced Materials, 2001, Vol. 13, No. 10, Pages 715-724	

Examiner Signature	Date Considered
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